

MFDA Hearing Panel issues Reasons for Decision in the matter of Angie Lau

Written by Australian Business

TORONTO, Jan. 17, 2014 /CNW/ - A Hearing Panel of the Central Regional Council of the Mutual Fund Dealers Association of Canada ("MFDA") has issued its Reasons for Decision in connection with its acceptance of the settlement agreement entered into between Staff of the MFDA and Angie Sau Chu Lau (the "Respondent") dated November 19, 2013, at the settlement hearing held in Toronto, Ontario on December 10, 2013.

A copy of the [Reasons for Decision](#) is available on the MFDA website at www.mfda.ca. During the period described in the Settlement Agreement, the Respondent carried on business in the Ottawa, Ontario area.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 112 Members and their approximately 80,000 Approved Persons with a mandate to protect investors and the public interest. For more information about the MFDA's complaint and enforcement processes, as well as links to 'Check an Advisor' and other Investor Tools, visit the [For Investors](#) page on the MFDA website.

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